

### Nuclear energy production

Aim: evaluate energy-generation rate per unit mass  $\epsilon$ .

$$\text{Sun: } \langle \epsilon \rangle \simeq 10 \text{ erg s}^{-1} \text{ g}^{-1} \quad (\text{check L}_\odot/\text{M}_\odot, \quad \epsilon_{\text{human}} \simeq 10^4 \text{ erg s}^{-1} \text{ g}^{-1})$$

energy-generation rate produced from fusion of two nuclei  $a + A$ :

$$\epsilon_{aA} = Q_{aA} \times r_{aA} \times \frac{1}{\rho}$$

reaction rate  
per unit volume  
(includes cross section  $\sigma(E)$ )

energy released  
per reaction

$$\text{and velocity distribution } f(v)dv)$$

### Nuclear energy production

- Nuclear reactions in sun take place within  $\sim 10\%$  of total solar mass.

- Estimation of energy generation by "hydrogen burning" reactions



- mass loss:

$$\begin{array}{rcl} \text{H} : 4 \times 1.008 & = & 4.032 \text{ m}_u \\ \text{He} : & & -4.003 \text{ m}_u \\ 2e^- : & & -0.001 \text{ m}_u \\ \hline \Delta m : & & 0.028 \text{ m}_u \end{array} \rightarrow 0.028/4 = 0.7\%$$

$$(1 \text{ eV} = 1.6020 \times 10^{-12} \text{ erg})$$

- nuclear time scale:  $t_{\text{nuc}} := \frac{\Delta m c^2}{L_\odot} = 7 \times 10^{-3} \frac{M_\odot}{10} \frac{c^2}{L_\odot}$

$$t_{\text{nuc}} = 10^{10} \text{ years} \left( \frac{M}{M_\odot} \right) \left( \frac{L}{L_\odot} \right)^{-1}$$

### Nuclear energy production

**Energy  $Q_{i,A}$  by each reaction**

- Most stars live from so-called thermonuclear fusion, where due to thermal motion lighter nuclei fuse to form heavier elements.

- During fusion process, some of the mass (e.g. 0.7% for hydrogen burning) of original nuclei has been converted into energy according to  $E = \Delta m c^2$ .

- Mass loss  $\Delta m$  originates in different binding energies  $E_B$  of the involved nuclei.

-  $E_B$  is **energy required to separate nucleons** (protons & neutrons) against their mutual attraction of the strong, short-range forces, or the gain if nucleons are **brought together** (within  $10^{-12}$  cm) from infinity.

$$E_B = [N m_u + Z m_n - M_{\text{nuc}}] c^2$$

total rest mass  
↓  
mass of  
of neutrons  
protons

$$\text{Integer atomic weight: } A = N + Z$$

### Nuclear energy production

**Energy  $Q_{i,A}$  by each reaction**

- for comparing nuclei, better  $E_B$  per nucleon:  $f = \frac{E_B}{A}$

$$E_B = [N m_u + Z m_n - M_{\text{nuc}}] c^2$$

$$\text{Integer atomic weight: } A = N + Z$$

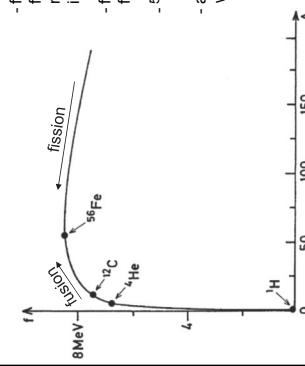
- for  $A < 56$ ,  $f \uparrow \uparrow$ , because of short-range, strong-forces affecting only nucleons in its immediate neighbourhood (geometrical effect: surface increases with  $r$  slower than volume, i.e. with  $A$ ),

- for  $A > 56$ ,  $f \downarrow \downarrow$ , because of repulsive Coulomb forces between protons, which are far-reaching.

-  ${}^{56}\text{Fe}$  most tightly bound nuclei (smallest  $m/\text{nuclei}$ ),

- any reaction bringing resulting nuclei close to  $f_{\max}$  will be **exothermic**:

- (a) for  $A > 56$  by fusion (e.g. stellar cores)
- (b) for  $A < 56$  by fission (e.g. radioactivity)



### Nuclear energy production

**Energy  $Q_{\text{AA}}$  by each reaction**

- Consider general reaction:  $A + a \rightarrow Y + y$  Short notation:  $A(a, y)Y$
- The energy  $Q_{\text{AA}}$  released in this reaction is:

$$Q_{\text{AA}} = c^2 [m(A) + m(a) - m(Y) - m(y)]$$

- Since loss of mass is generally very small, more convenient to use **mass excesses**

$$\Delta m = m - m_u(Z + N),$$

protons      neutrons

$$Q_{\text{AA}} = c^2 [\Delta m(A) + \Delta m(a) - \Delta m(Y) - \Delta m(y)].$$

atomic mass excesses  $\Delta m$  available in form of **tables** (e.g. Clayton 1968).

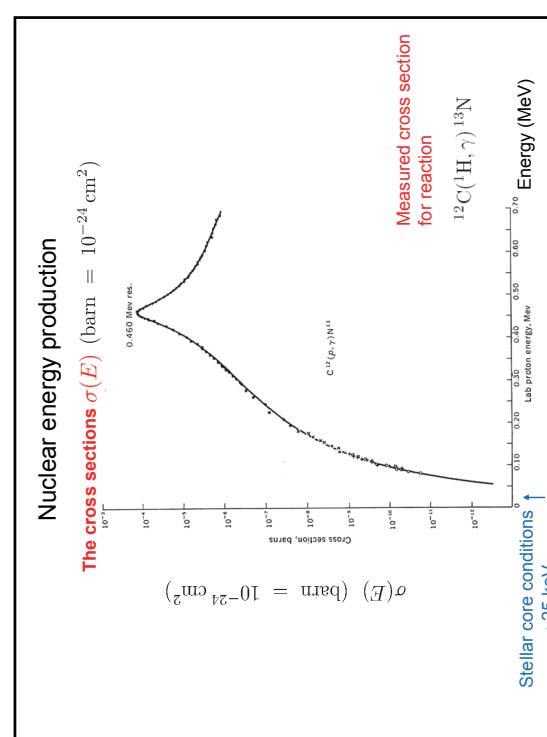
### Nuclear energy production

**The cross sections  $\sigma(E)$**  (barn =  $10^{-24} \text{ cm}^2$ )

- $\sigma(E)$  defined as an area, which describes the relation between **probability  $p$  of a reaction and a geometrical area**.
- reaction between nuclei is caused by the **strong forces**, acting **between protons and neutrons** (range limited to extent of nucleus).
- for a reaction to occur, nuclei must be brought so close together that they touch each other → requires to overcome **Coulomb repulsion** between them.
- energy to overcome Coulomb repulsion, i.e. height of Coulomb barrier

$$E_{\text{CB}} \approx \frac{Z_1 Z_2 e^2}{r_0} \simeq Z_1 Z_2 \text{ MeV}$$

$$\langle E_{\text{kin}} \rangle = \frac{3}{2} kT \simeq 130 \text{ eV } T / 10^6 \simeq \text{keV}$$

$$\langle E_{\text{kin}} \rangle \simeq \frac{1}{100} E_{\text{CB}}$$


### Nuclear energy production

**The cross sections  $\sigma(E)$**

- reasonable extrapolation of  $\sigma(E)$  to lower  $E$  can be obtained by separating strongest  $E$ -dependent contributions caused by (quantum-mechanical) penetration probability through Coulomb barrier.
- quantum-mechanical effect found by G. Gamov (1928) is the '**tunnel-effect**', in which nuclei can penetrate (tunnel) the potential barrier with a **probability  $p_G(E)$**

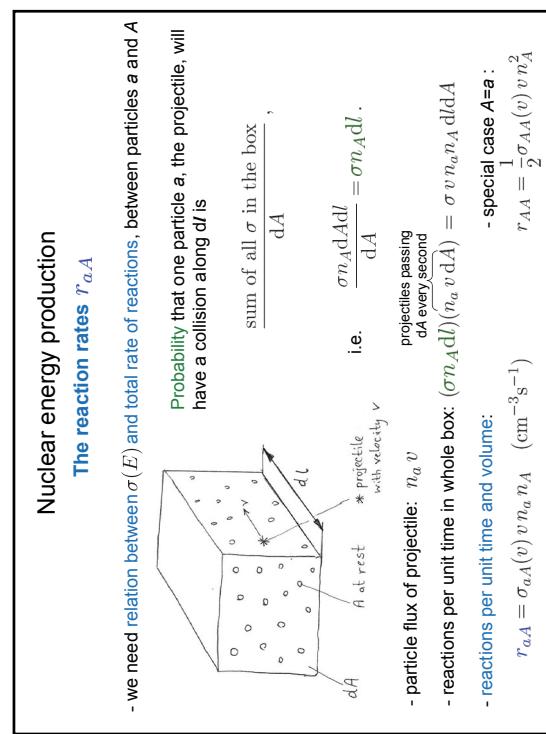
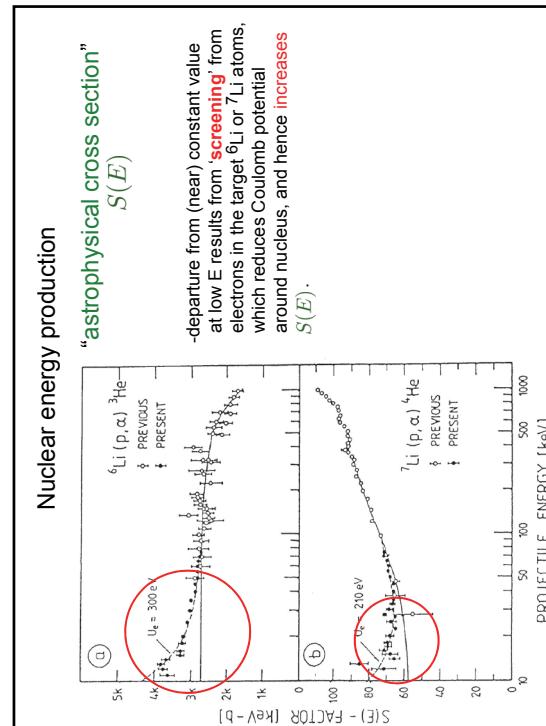
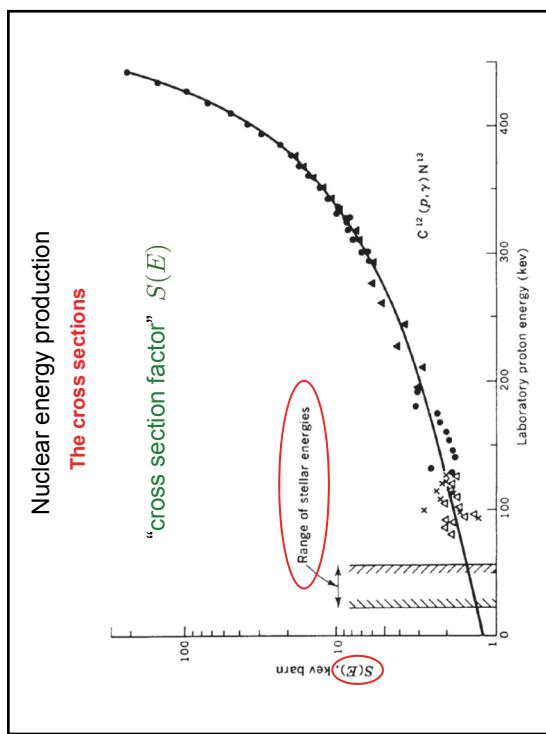
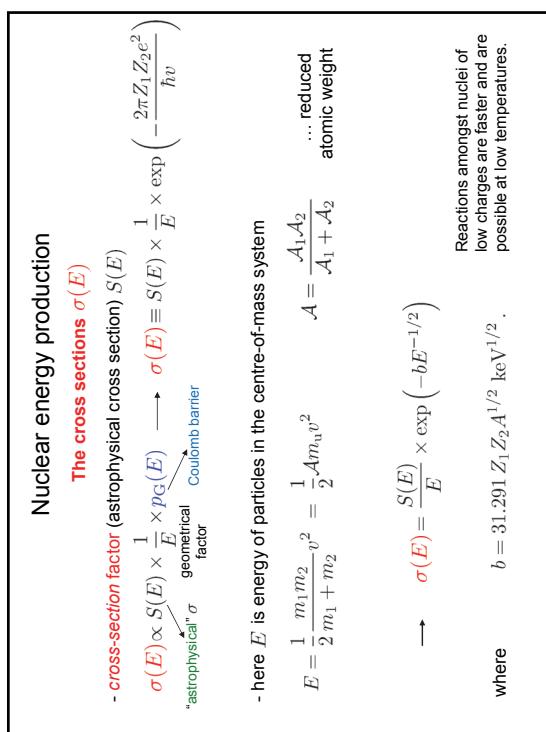
$$p_G(E) \propto \exp \left( - \frac{2\pi Z_1 Z_2 e^2}{\hbar v} \right);$$

relative velocity between the two nuclei:  $v \sim E^{1/2}$

- an **additional  $E$ -dependence** enters in the 'geometrical extent' of the **nuclei**:

$$\pi \lambda^2 \propto p^{-2} \propto E^{-1} \quad \lambda = \frac{\hbar}{p} \quad \dots \text{de Broglie wavelength}$$

geometrical cross section



**Nuclear energy production**

**The reaction rates**  $r_{aA}$

- In reality A is not at rest and there is a distribution of the relative velocities  $v$ . If  $f(v)dv$  is the fraction of pairs of particles with relative speed between  $v$  &  $v+dv$ ,

$$r_{aA} = \langle \sigma v \rangle n_a n_A ,$$

with

$$\langle \sigma v \rangle = \int_0^\infty v \sigma(E) f(v) dv .$$

where

$$f(v)dv = 4\pi \left( \frac{m}{2\pi k_B T} \right)^{3/2} \exp\left(-\frac{mv^2}{2k_B T}\right) v^2 dv ,$$

and

$$m = Am_u \quad \text{with} \quad A = \frac{A_1 A_2}{A_1 + A_2} \quad \dots \text{reduced atomic weight.}$$

**Nuclear energy production**

**The reaction rates**  $r_{aA}$

and we obtain

$$\langle \sigma v \rangle = \left( \frac{8}{m\pi} \right)^{1/2} \frac{1}{(k_B T)^{3/2}} \int_0^\infty S(E) \exp\left(-\frac{E}{k_B T} - \frac{b}{E^{1/2}}\right) dE .$$

includes geometry of particles

$b = 31.291 Z_1 Z_2 A^{1/2} \text{ keV}^{1/2}$

Coulomb barrier (tunneling)

competing effects

resulting in

Gamov peak maximum at

$E_0 = \left( \frac{bk_B T}{2} \right)^{2/3}$

**Nuclear energy production**

**The reaction rates**  $r_{aA}$

- analytical approximation of Gamov peak:

- we neglect variation of  $S(E)$  over Gamov peak [ $S(E) \rightarrow S(E_0)$ ], and approximate the function  $\exp(-E/k_B T - bE^{-1/2})$  by a Gaussian

$$\exp\left(-\frac{3E_0}{k_B T}\right) \exp\left[-\left(\frac{E - E_0}{\Delta/2}\right)^2\right] ,$$

where the width  $\Delta = 4(E_0 k_B T / 3)^{1/2}$  provides the same curvature at maximum.

After some algebra we obtain

$$\langle \sigma v \rangle = \frac{8\sqrt{2}}{9\sqrt{3}} \frac{S(E_0)}{\sqrt{mb}} \eta^2 \exp(-\eta) ,$$

with

$$\eta = \frac{3E_0}{k_B T} = BT_6^{-1/3} , \quad B = 42.487(Z_1^2 Z_2^2 A)^{1/3} .$$

For fairly large  $\eta$ ,  $\langle \sigma v \rangle$  is a decreasing function of  $\eta$ :  $\rightarrow \langle \sigma v \rangle \downarrow$  for  $Z_1 Z_2 \uparrow\uparrow$ .

**Nuclear energy production**

**The reaction rates**  $r_{aA}$

- in order to 'see' the  $T$ -dependence more clearly, we further simplify the expression over a limited  $T$  range around  $T=T_0$ , defining  $T_0$  such that  $(3/2)k_B T_0 = E_0$  and adopt the power law

$$\langle \sigma v \rangle \simeq \langle \sigma v \rangle_0 \left( \frac{T}{T_0} \right)^n ,$$

where  $\langle \sigma v \rangle_0$  is the value of  $\langle \sigma v \rangle$  at  $T = T_0$  and

$$n = \frac{d \ln \langle \sigma v \rangle}{d \ln T} = \frac{d \ln \langle \sigma v \rangle}{d \ln \eta} \frac{d \ln \eta}{d \ln T} = \frac{\eta - 2}{3} ,$$

evaluated at  $T = T_0$ .

Because  $\eta \propto (Z_1 Z_2)^{3/2}$ , the  $T$ -sensitivity of  $\langle \sigma v \rangle$  increases strongly with  $Z_1 Z_2$ :

$\mathbf{e.g.} \eta = 4, \dots, 5 \quad \text{for H-burning in Sun}$

$\eta = 13, \dots, 23 \quad \text{for CNO-cycle.}$

**Nuclear energy production**

**The reaction rates  $r_{aA}$**

We may now write the reaction rates (reactions / s / cm<sup>3</sup>) as

$$r_{aA} = \langle \sigma v \rangle_0 n_a n_A \left( \frac{T}{T_0} \right)^n$$

The **energy generation rate per unit mass  $\epsilon_{aA}$**  is then

$$\epsilon_{aA} = Q_{aA} \times r_{aA} \times \frac{1}{\rho}$$

where we used

$$n_A = \frac{X_A \rho}{A_A m_u}$$

$X_A$ , abundances by mass

$$\frac{dX_A}{dt} = r_{aA} \frac{X_A}{n_A} = r_{aA} \frac{m_u A_A}{\rho} = -\langle \sigma v \rangle_0 \frac{X_a X_A}{A_a m_u} \rho \left( \frac{T}{T_0} \right)^n \equiv -\frac{X_A}{\tau_{aA}}$$

Rate of change in  $X_A$  due to reactions with nuclei of type  $a$

**Nuclear energy production**

**Electron screening**

- repulsive Coulomb force is important for estimating  $\epsilon_{aA}$ .
- free e have influence on Coulomb force, i.e.  $E_{C8}$ .
- approaching particle will "feel" neutral conglomerate of target nucleus & surrounding e - cloud.
- e<sup>-</sup> are attracted from nucleus of charge +Ze → e<sup>-</sup> have slightly  $\uparrow\downarrow n_e$  near nucleus, and ions are repelled, i.e.  $\eta \downarrow\downarrow$  clustering of e<sup>-</sup>.

**Nuclear energy production**

**Electron screening**

- particle density  $n$  with charge 'q' is modified in the presence of an electrostatic potential  $\phi$  according to

$$n = \bar{n} e^{-q\phi/kT} .$$

... derived from  
Debye-Hückel theory  
(see e.g. Jackson 1975)  
# density for  $\phi = 0$

- Typically  $|q\phi| \ll kT \rightarrow$  approximation:

$$n_i = \bar{n}_i \left( 1 - \frac{Z_i e \phi}{kT} \right) , \quad n_e = \bar{n}_e \left( 1 + \frac{e \phi}{kT} \right) ,$$

Which shows directly the decrease of ion density and increase of e<sup>-</sup> density.

**Nuclear energy production**

**Electron screening**

- total (electrical) charge density  $\sigma$  for all types of ions ( $n_i$ )

For  $\phi = 0$  (neutral gas)  $\bar{\sigma} = 0$  i.e.:

$$\bar{\sigma} = \sum_i (Z_i e) \bar{n}_i - e \bar{n}_e = 0 ,$$

$$\sigma = \sum_i (Z_i e) n_i - e n_e$$

$$= \sum_i - \frac{(Z_i e)^2 \phi}{kT} \bar{n}_i - \frac{e^2 \phi}{kT} \bar{n}_e .$$

- Combine last two terms to obtain

$$\sigma = -\chi \frac{e^2 \phi}{kT} n ,$$

where total particle density  $n$ , and average value  $\chi$  are

$$n = n_e + \sum_i n_i , \quad \chi := \frac{1}{n} \left( \sum_i Z_i^2 \bar{n}_i + \bar{n}_e \right) = \mu \sum_i \frac{Z_i (\bar{n}_i + 1)}{A_i} X_i .$$

Nuclear energy production

**Electron screening**

- $\sigma$  and  $\phi$  are also connected via the 'Poisson equation'

$$\nabla^2 \phi = -4\pi\sigma.$$

which has the solution (for a point charge  $Ze$ ) and for spherical geometry

$$\phi = \frac{Ze}{r} e^{-r/r_D} \quad \text{with} \quad r_D = \left( \frac{kT}{4\pi\chi e^2 n} \right)^{1/2} \quad \dots \dots \text{being the Debye-radius}$$

(to some sense the  $r$  of  $e^-$  cloud;  $r_D = 10^{-8} \dots 10^{-9}$  cm.)

For 'normal stars'  $\frac{r}{r_D} \simeq \frac{r_0}{r_D} << 1$  ;  $r_0 = Z_1 Z_2 e^2 / E_0 \simeq 10^{-11}$  cm

↓  
classical particle distant at  
Gamov peak

$$\phi \simeq \frac{Ze}{r} \left( 1 - \frac{r}{r_D} \right) = \frac{Ze}{r} - \underbrace{\frac{Ze}{r_D}}_{\text{unshielded potential}}$$

Nuclear energy production

**Electron screening**

- Accordingly the Coulomb barrier  $E_{CB}$  is reduced by Debye energy  $E_D$

$$E_{CB} = \frac{Z_1 Z_2 e^2}{r} - \underbrace{\frac{Z_1 Z_2 e^2}{r_D}}_{\text{Debye energy } E_D}.$$

Thereby increasing the probability with which particles can tunnel through the Coulomb barrier, leading to an increase of

$$\langle \sigma v \rangle_{\text{screen}} \propto S(E_0) \int_0^\infty e^{-bE^{-1/2}} e^{-E/kT} e^{E_D/kT} dE.$$

$\underbrace{e^{-bE^{-1/2}}}_{\text{Coulomb barrier}} \underbrace{e^{-E/kT}}_{\text{M-B tail}} \underbrace{e^{E_D/kT}}_{\text{screening factor}} \underbrace{dE}_{\text{f}}$

Increase by  $\propto \frac{1}{f}$ :

$$\langle \sigma v \rangle_{\text{screen}} = \langle \sigma v \rangle e^{E_D/kT}$$

Nuclear energy production

**Electron screening**

- weak screening:  $\frac{E_D}{kT} \ll 1 \rightarrow \frac{E_D}{kT} \propto \left( \frac{\rho}{T^3} \right)^{1/2}$
- strong screening:  $\frac{E_D}{kT} \gg 1 \rightarrow \frac{E_D}{kT} \propto \frac{\rho^{1/3}}{T}$

For solar case:  $\langle \sigma v \rangle_{\text{screen}} \simeq 1.1 \times \langle \sigma v \rangle$

$Q_{pp1} = 26.73 - 2 \times 0.263 \text{ MeV}$

Screening factor depends strongly on  $\rho$  &  $T$

Nuclear energy production

**Hydrogen burning**

- (a) pp chain:  ${}^4\text{H} \rightarrow {}^4\text{He} + 2\nu_e$

maintain charge balance  
(conserve lepton number)

$r_D = \left( \frac{kT}{4\pi\chi e^2 n} \right)^{1/2}$

$\chi \propto \rho$

$2x$

${}^1\text{H} + {}^1\text{H} \rightarrow {}^2\text{H} + e^+ + \nu_e$  (controlled by weak interaction)  $\rightarrow 14 \times 10^9$  y

${}^2\text{H} + {}^1\text{H} \rightarrow {}^3\text{He} + \gamma$

$T \sim 15 \text{ mill. K}$

${}^3\text{He} + {}^3\text{He} \rightarrow {}^4\text{He} + 2\text{H}$

$Q_{pp1} = 26.73 - 2 \times 0.263 \text{ MeV}$

